

Attorney's Docket No. 5577-221

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re: Antes, et. al.

Serial No.: 09/764,790

Filed: January 17, 2001

Group Art Unit: 2151

SEP 19 2001

Technology Center 2100

For: **METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR PROVIDING FAILURE RECOVERY OF NETWORK SECURE COMMUNICATIONS IN A CLUSTER COMPUTING ENVIRONMENT**

Date: September 14, 2001

Commissioner for Patents  
Washington, DC 20231


**INFORMATION DISCLOSURE STATEMENT  
CITATION UNDER 37 C.F.R. § 1.97**

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP.

No item of information contained in this Statement was cited from a foreign patent office in a counterpart foreign application.

Respectfully submitted,

  
Timothy J. O'Sullivan  
Registration No. 35,632

**Customer Number:**

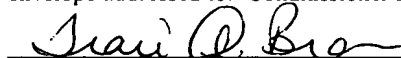


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PATENT TRADEMARK OFFICE

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on September 14, 2001.



Traci A. Brown

Date of Signature: September 14, 2001

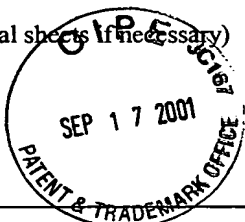
FORM PTO-1449 U.S. Department of Commerce  
Patent and Trademark Office

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LIST OF DOCUMENTS CITED BY APPLICANT

(Use several sheets if necessary)



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Group 2151

U. S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
ES ↓	1	4,403,286	09/06/83	Fry et al.	364	200	
	2	4,495,570	01/22/85	Kitajima et al.	364	200	
	3	4,577,272	03/18/86	Ballew et al.	364	200	
	4	5,031,089	07/09/91	Liu et al.	364	200	
	5	5,675,739	10/07/97	Eilert et al.	395	200	
	6	5,917,997	06/29/99	Bell et al.	395	182.02	
	7	5,923,854	07/13/99	Bell et al.	395	200.73	
	8	5,935,215	08/10/99	Bell et al.	709	239	
	9	5,951,650	09/14/99	Bell et al.	709	238	

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes   No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	10	A. Dahlin, et al. <i>EDDIE A Robust and Scalable Internet Server</i> . Ericsson Telecom AB, Stockholm, Sweden (May 1998).					
	11	Brochure entitled, <i>ACEdirector™ 8-PORT 10/100 MBPS ETHERNET SWITCH</i> . Alteon WebSystems, San Jose, CA ( 1999)					
	12	Brochure entitled, <i>Enhancing Web User Experience with Global Server Load Balancing</i> . Alteon WebSystems, San Jose, CA (June 1999).					
	13	Brochure entitled, <i>The Next Step in Server Load Balancing</i> . Alteon WebSystems, San Jose, CA (November 1999).					
	14	Mac Devine. Presentation entitled, <i>TCP/IP Application Availability and Workload Balancing in the Parallel Sysplex</i> . Share Technical Conference (August 22-27, 1999).					
	15	<a href="http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.1.2">http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.1.2</a> ; 1.3.1.2.5 Virtual IP Addressing (VIPA); Excerpt from IP Configuration for OS/390, pp. 1-4 (1998)					
	16	<a href="http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.2">http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.2</a> ; 1.3.20 DEVICE and LINK Statement—Virtual Devices (VIPA); Excerpt from IP Configuration for OS/390, pp. 1-3 (1998)					
	17	<a href="http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.2">http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.2</a> ; 1.3.23 HOME Statement; Excerpt from IP Configuration for OS/390, pp. 1-6 (1998)					

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DATE CONSIDERED

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.